

# Universe Model: A Human-like User Simulator Based on Dialogue Context

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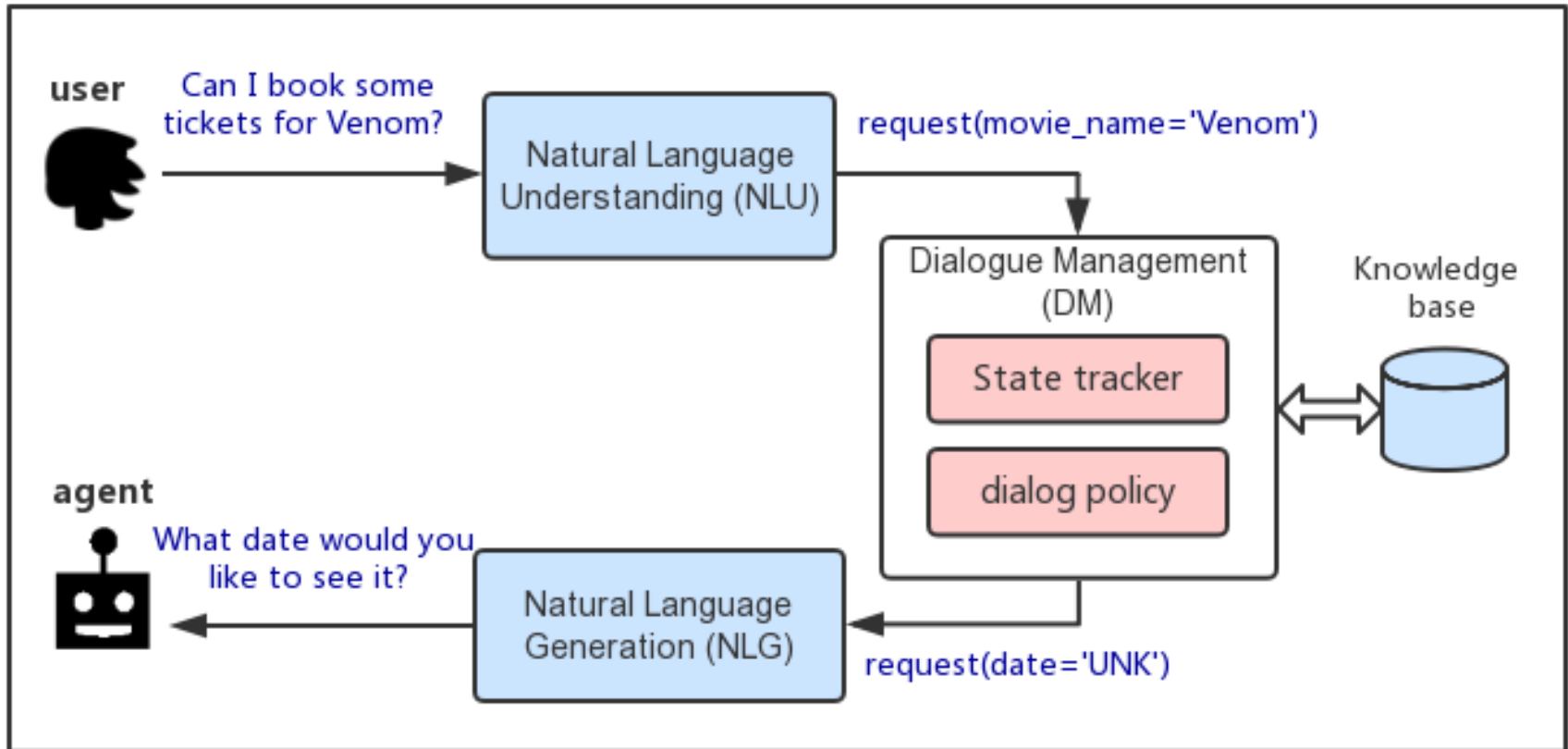
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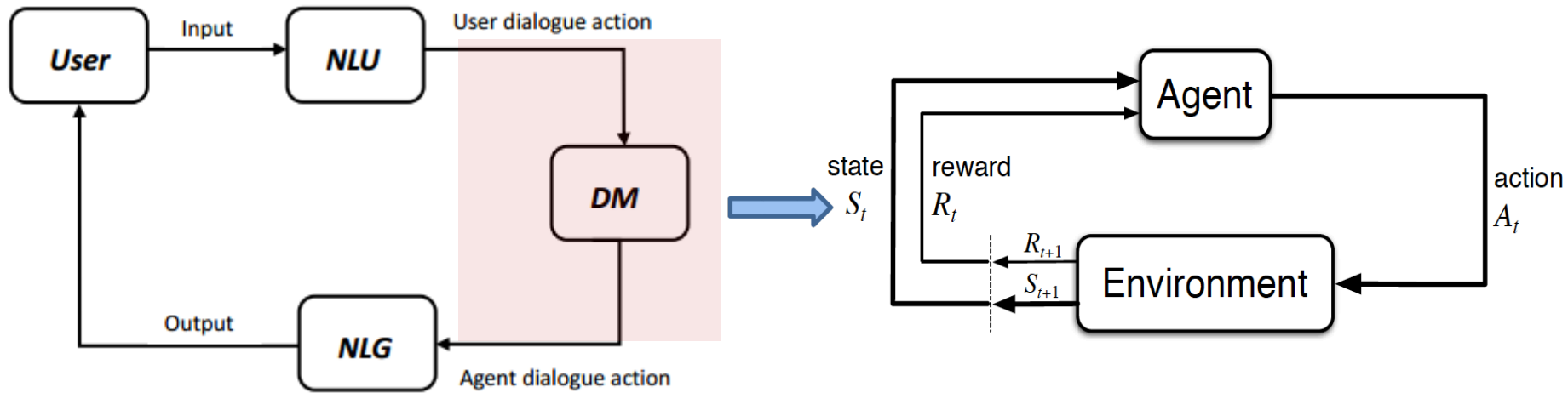
# Outline

- Introduction
- Model
- Experiments
- Conculsion and future work

# Task-oriented dialogue system



# RL in dialog system



## RL process : *state, action, reward*

- The agent starts in state  $S_t$
- At step  $t$  the agent perform  $a_t$ , received reward  $r$  and reaches  $S_{t+1}$
- The agent's goal is to maximize the expected accumulate reward.

# User simulator

Challenge:

- RL require interaction with the environment.
- The collecting of real experience is expensive and time consuming.

Solution:

- User simulator [[Schatzmann et al., 2007](#)]  
Mimic what a real user does in a conversation  
Provide environment for RL agent

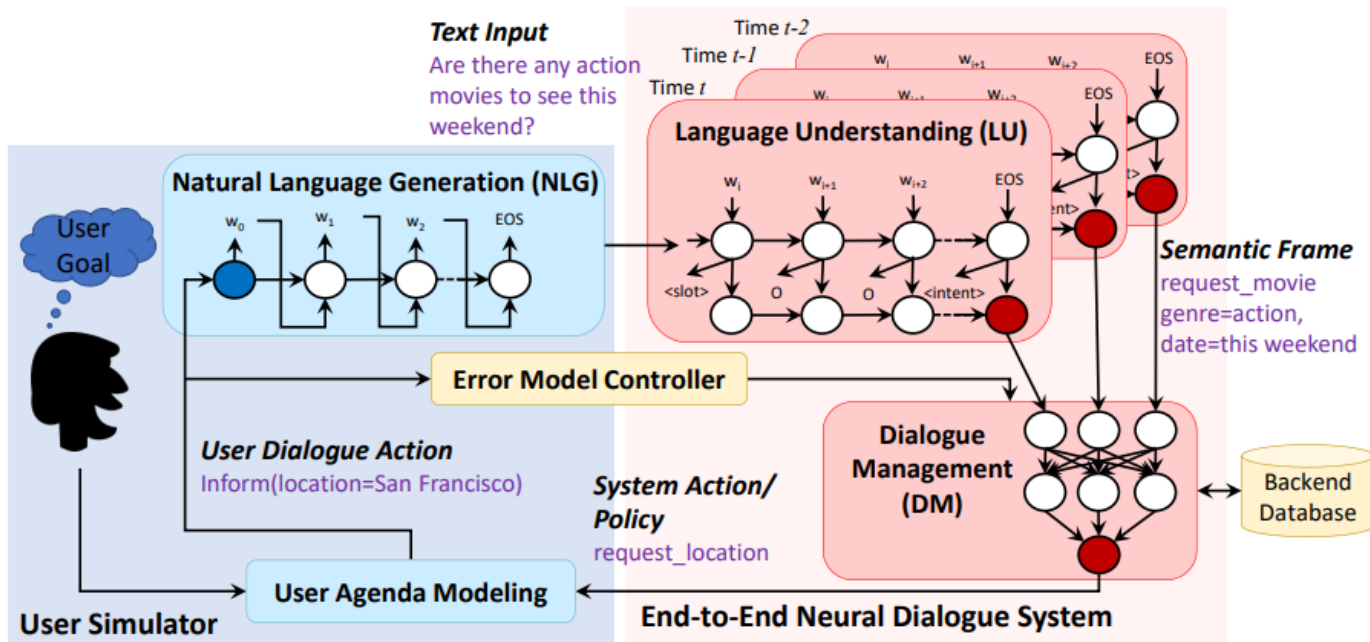


Figure 1 End-to-end neural dialogue system with a user simulator [[Li+ 17](#)]

# User simulator: existing methods

## Rule based

### Pros

- Unlimited amount of training data for RL agent without any cost.

### Cons

- Rough approximation of real users
- Real conversation is more complex

## Model based

- Learn from the limited real experience:  
DDQ - world model [Peng et al.,2017 ]
- Insufficient context informations

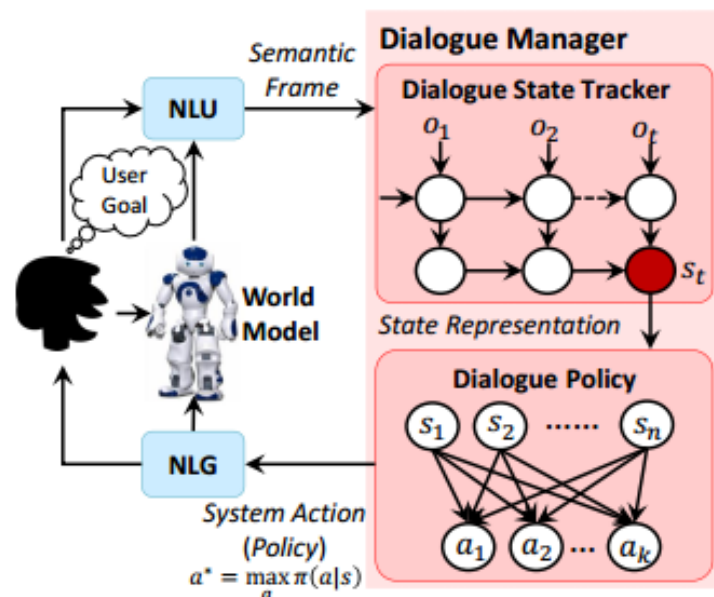
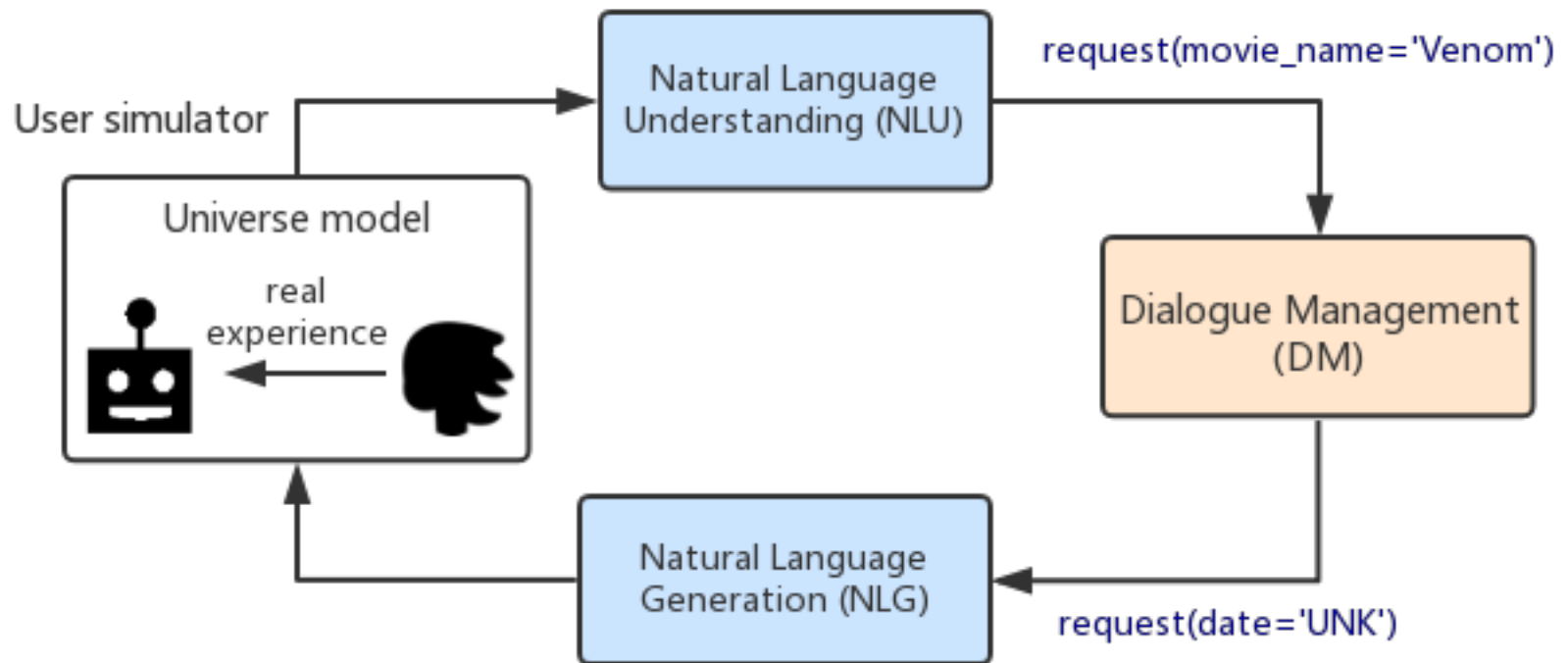
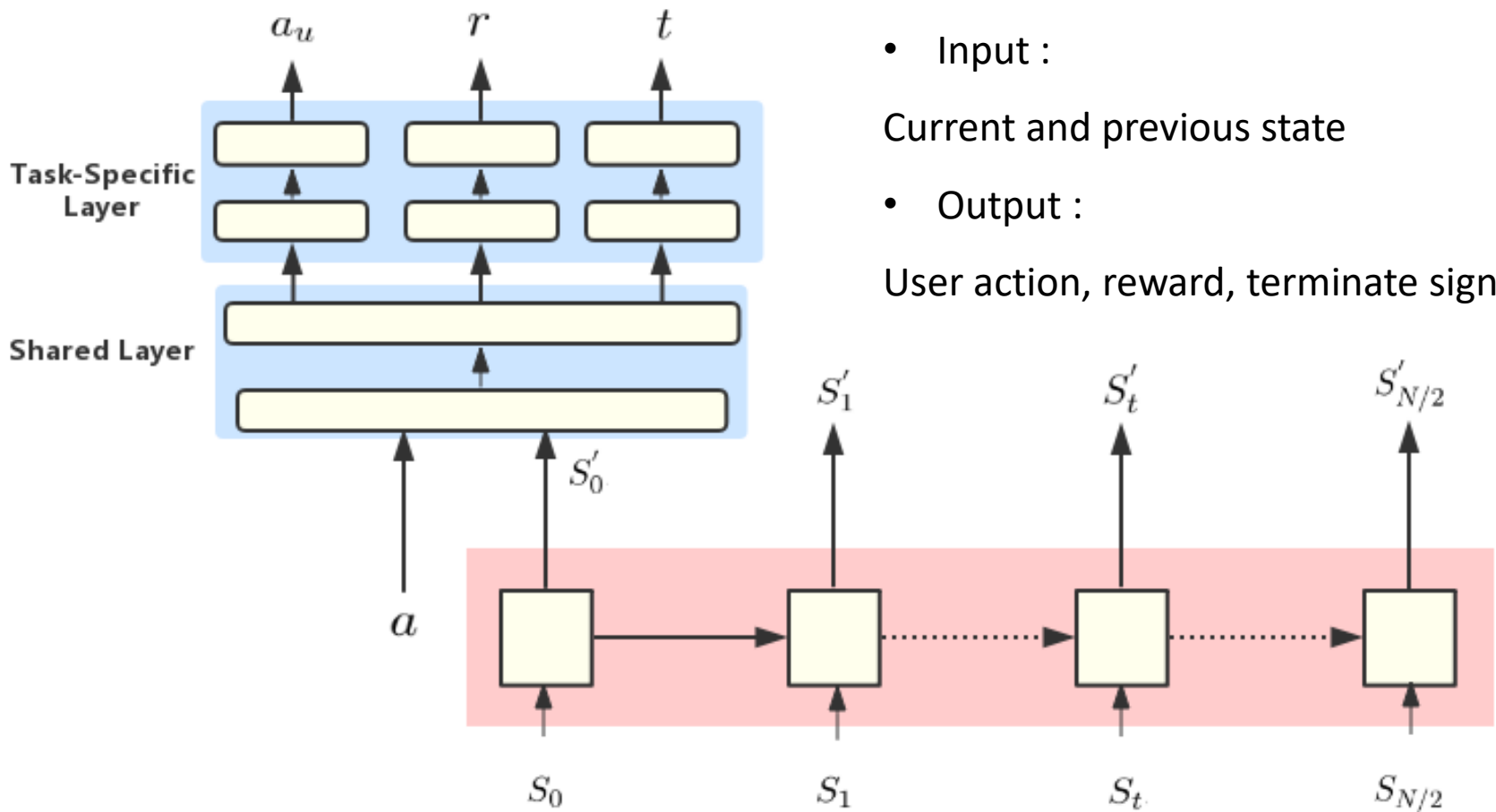


Figure 2: Illustration of the task-completion DDQ dialogue agent. [Peng et al.,2017 ]

# Our proposed model : Universe model



# The universe model architecture



## RNN + Multi task learning

- Input :  
Current and previous state
- Output :  
User action, reward, terminate signal



# Universe model

User:	Can I book some tickets for Venom?
Agent:	What date would you like to see it?
User:	I want to set it up tomorrow.
Agent:	Which theater would you like?
User:	I want to watch at regal meridian 16.
Agent:	What time would you like to see it?
User:	9:25 pm.
Agent:	How many tickets do you need?
User:	2 tickets please!
Agent:	Great - I was able to purchase 2 tickets for you to see Venom tomorrow at regal meridian 16 theater at 9:25 pm.

- Context information learning
- $S_0, S_1, \dots, S_{t-1}, S_t \dots, S_{N/2}$
- Multi task learning
- Input:  $a, S'_t$
  - Output:  $a_u, r, t$
-

# Experimental results

- The simulating performance of different user simulators

User simulators	Reward acc	Term acc	User action acc
Original world model from DDQ(5)	0.419	0.984	0.736
Transferred world model	0.934	0.983	0.891
Universe model	0.951	0.991	<b>0.95</b>

- The performance of different simulators on the same dialogue system

User simulator	Dialog avg reward	Dialog avg turns	Dialog success acc
Original world model from DDQ(5)	45.11	19.94	0.784
Transferred world model	53.93	11.73	0.823
Universe model	55.26	11.85	<b>0.851</b>

# Conclusion and Future work

## Universe model :

- Provide a more human-like environment and generate unlimited training data for RL-based dialogue system to interact with.

## Future work:

- Narrow the gap between the human and user simulator to improve the human evaluation.
- And It's still a challenge for universe model to predict more complex dialogues.

**Thank you!**